

NVS Brokerage Private Limited

Prevention of circulation of unauthorized information

Policy on Unauthentic News Circulation: This policy is made in compliance with the SEBI Circular no. Cir/ISD/2011 dated 23rd March, 2011 in connection with unauthenticated news circulated by SEBI Registered Market Intermediaries through various modes of communication. Accordingly, No Employee/Representative Shall;

- Communicate or counselor procure, directly or indirectly, any unverified information or rumors related to securities, to any other person(s).
- Encourage or circulate rumors or unverified information obtained from client, industry, any trade or any other source without verification.
- Access to blogs, chat forum and other sites and if accessed shall either be restricted under supervision of director/compliance officer or should not be accessed at all.
- Use any blogs /chat forums/messenger sites for divulging any unverified information or for circulation any kind of rumors in the market.
- Circulate any market related news received by him/her either in their official mail/personal mail/blog or in any other manner, and such information should be forwarded only after the same has been seen and approved by the Compliance Officer of the company.

However, notwithstanding anything contained in above mentioned clauses will be applicable to any sort of communication required by the employee for/or in relation to any assignment of the Company within ordinary course of business or for pursuing any research for the development or/in the interest of the company.